

<p><b>Titre :</b> How to choose a leadership pattern Should a manager be democratic or autocratic—or something in between?</p>	<p>Cote BNF</p>
<p><b>Auteur :</b> Robert Tannenbaum , Warren H. Schmidt</p>	
<p><b>Année-Éditeur :</b> Harvard Business Review, May-June 1973 pp162-180</p>	

### New problem

#### p. 162

The problem of how the modern manager can be “democratic” in his relations with subordinates and at the same time maintain the necessary authority and control in the organization for which he is responsible has come into focus increasingly in recent years.

Earlier in the century this problem was not so acutely felt. The successful executive was generally pictured as possessing intelligence, imagination, initiative, the capacity to make rapid (and generally wise) decisions, and the ability to inspire subordinates. People tended to think of the world as being divided into “leaders” and “followers.”

[...]

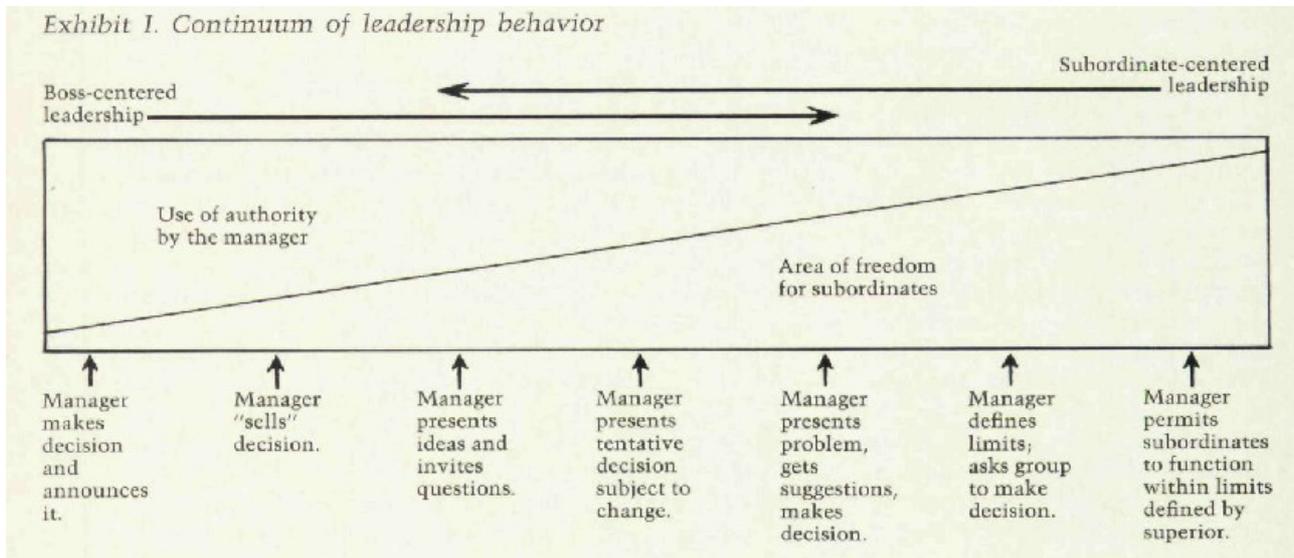
Often he is not quite sure how to behave; there are times when he is torn between exerting “strong” leadership and “permissive” leadership. Sometimes new knowledge pushes him in one direction (“I should really get the group to help make this decision”), but at the same time his experience pushes him in another direction (“I really understand the problem better than the group and therefore I should make the decision”). He is not sure when a group decision is really appropriate or when holding a staff meeting serves merely as a device for avoiding his own decision-making responsibility.

### Range of behavior

#### p. 163

Exhibit I presents the continuum or range of possible leadership behavior available to a manager. Each type of action is related to the degree of authority used by the boss and to the amount of freedom available to his subordinates in reaching decisions. The actions seen on the extreme left characterize the manager who maintains a high degree of control while those seen on the extreme right characterize the manager who releases a high degree of control. Neither extreme is absolute authority and freedom are never without their limitations.

Now let us look more closely at each of the behavior points occurring along this continuum.



*The manager makes the decision and announces it.*

In this case the boss identifies a problem, considers alternative solutions, chooses one of them, and then reports this decision to his subordinates for implementation. He may or may not give consideration to what he believes his subordinates will think or feel about his decision in any case, he provides no opportunity for them to participate directly in the decision-making process. Coercion may or may not be used or implied.

*The manager "sells" his decision.*

Here the manager, as before, takes responsibility for identifying the problem and arriving at a decision. However, rather than simply announcing it, he takes the additional step of persuading his subordinates to accept it. In doing so, he recognizes the possibility of some resistance among those who will be faced with the decision, and seeks to reduce this resistance by indicating, for example, what the employees have to gain from his decision.

*The manager presents his ideas, invites questions.*

Here the boss who has arrived at a decision and who seeks acceptance of his ideas provides an opportunity for his subordinates to get a fuller explanation of his thinking and his intentions. After presenting the ideas, he invites questions so that his associates can better understand what he is trying to accomplish. This "give and take" also enables the manager and the subordinates to explore more fully the implications of the decision.

*The manager presents a tentative decision subject to change.*

This kind of behavior permits the subordinates to exert some influence on the decision. The initiative for identifying and diagnosing the problem remains with the

boss. Before meeting with his staff, he has thought the problem through and arrived at a decision—but only a tentative one. Before finalizing it, he presents his proposed solution for the reaction of those who will be affected by it. He says in effect, “I’d like to hear what you have to say about this plan that I have developed. I’ll appreciate your frank reactions, but will reserve for myself the final decision.”

*The manager presents the problem, gets suggestions, and then makes his decision.*

Up to this point the boss has come before the group with a solution of his own. Not so in this case. The subordinates now get the first chance to suggest solutions. The manager’s initial role involves identifying the problem. He might, for example, say something of this sort: “We are faced with a number of complaints from newspapers and the general public on our service policy. What is wrong here? What ideas do you have for coming to grips with this problem?”

The function of the group becomes one of increasing the manager’s repertory of possible solutions to the problem. The purpose is to capitalize on the knowledge and experience of those who are on the “firing line.” From the expanded list of alternatives developed by the manager and his subordinates, the manager then selects the solution that he regards as most promising.’

*The manager defines the limits and requests the group to make a decision.*

At this point the manager passes to the group [possibly including himself as a member) the right to make decisions. Before doing so, however, he defines the problem to be solved and the boundaries within which the decision must be made.

An example might be the handling of a parking problem at a plant. The boss decides that this is something that should be worked on by the people involved, so he calls them together and points up the existence of the problem. Then he tells them:

“There is the open field just north of the main plant which has been designated for additional employee parking. We can build underground or surface multilevel facilities as long as the cost does not exceed \$100,000. Within these limits we are free to work out whatever solution makes sense to us. After we decide on a specific plan, the company will spend the available money in whatever way we indicate.”

*The manager permits the group to make decisions within prescribed limits.*

This represents an extreme degree of group freedom only occasionally encountered in formal organizations, as, for instance, in many research groups. Here the team of managers or engineers undertakes the identification and diagnosis of the problem, develops alternative procedures for solving it, and decides on one or more of these alternative solutions. The only limits directly imposed on the group by the organization are those specified by the superior of the team’s boss. If the boss participates in the decision-making process, he attempts to do so with no more authority than any other member of the group. He commits himself in advance to assist in implementing whatever decision the group makes.

p. 170

*Should the manager participate with his subordinates once he has delegated responsibility to them?*

The manager should carefully think over this question and decide on his role prior to involving the subordinate group, he should ask if his presence will inhibit or facilitate the problem-solving process. There may be some instances when he should leave the group to let it solve the problem for itself. Typically, however, the boss has useful ideas to contribute, and should function as an additional member of the group. In the latter instance, it is important that he indicate clearly to the group that he sees himself in a member role rather than in an authority role.

*How important is it for the group to recognize what kind of leadership behavior the boss is using?*

It makes a great deal of difference. Many relationship problems between boss and subordinate occur because the boss fails to make clear how he plans to use his authority. If, for example, he actually intends to make a certain decision himself, but the subordinate group gets the impression that he has delegated this authority, considerable confusion and resentment are likely to follow. Problems may also occur when the boss uses a "democratic" facade to conceal the fact that he has already made a decision which he hopes the group will accept as its own. The attempt to "make them think it was their idea in the first place" is a risky one. We believe that it is highly important for the manager to be honest and clear in describing what authority he is keeping and what role he is asking his subordinates to assume in solving a particular problem.

p. 178

*Type of organization*

Like individuals, organizations have values and traditions which inevitably influence the behavior of the people who work in them. The manager who is a newcomer to a company quickly discovers that certain kinds of behavior are approved while others are not. He also discovers that to deviate radically from what is generally accepted is likely to create problems for him.

These values and traditions are communicated in numerous ways— through job descriptions, policy pronouncements, and public statements by top executives. Some organizations, for example, hold to the notion that the desirable executive's is one who is dynamic, imaginative, decisive, and persuasive. Other organizations put more emphasis upon the importance of the executive's ability to work effectively with people—his human relations skills. The fact that his superiors have a defined concept of what the good executive should be will very likely push the manager toward one end or the other of the behavioral range.

In addition to the above, the amount of employee participation is influenced by such variables as the size of the working units, their geographical distribution, and the degree of inter- and intra-organizational security required to attain company goals. For example, the wide geographical dispersion of an organization may preclude a

practical system of participative decision making, even though this would otherwise be desirable. Similarly, the size of the working units or the need for keeping plans confidential may make it necessary for the boss to exercise more control than would otherwise be the case. Factors like these may limit considerably the manager's ability to function flexibly on the continuum.

#### *Group effectiveness*

Before turning decision-making responsibility over to a subordinate group, the boss should consider how effectively its members work together as a unit.

One of the relevant factors here is the experience the group has had in working together. It can generally be expected that a group which has functioned for some time will have developed habits of cooperation and thus be able to tackle a problem more effectively than a new group. It can also be expected that a group of people with similar backgrounds and interests will work more quickly and easily than people with dissimilar backgrounds, because the communication problems are likely to be less complex

The degree of confidence that the members have in their ability to solve problems as a group is also a key consideration. Finally, such group variables as cohesiveness, permissiveness, mutual acceptance, and commonality of purpose will exert subtle but powerful influence on the group's functioning.

#### *The problem itself*

The nature of the problem may determine what degree of authority should be delegated by the manager to his subordinates. Obviously he will ask himself whether they have the kind of knowledge which is needed. It is possible to do them a real disservice by assigning a problem that their experience does not equip them to handle.

Since the problems faced in large or growing industries increasingly require knowledge of specialists from many different fields, it might be inferred that the more complex a problem, the more anxious a manager will be to get some assistance in solving it. However, this is not always the case. There will be times when the very complexity of the problem calls for one person to work it out. For example, if the manager has most of the background and factual data relevant to a given issue, it may be easier for him to think it through himself than to take the time to fill in his staff on all the pertinent background information.

The key question to ask, of course, is: "Have I heard the ideas of everyone who has the necessary knowledge to make a significant contribution to the solution of this problem?"

#### *The pressure of time*

This is perhaps the most clearly felt pressure on the manager (in spite of the fact that it may sometimes be imagined). The more that he feels the need for an immediate decision, the more difficult it is to involve other people. In organizations which are in a constant state of "crisis" and "crash programming" one is likely to

find managers personally using a high degree of authority with relatively little delegation to subordinates. When the time pressure is less intense, however, it becomes much more possible to bring subordinates in on the decision-making process.

These, then, are the principal forces that impinge on the manager in any given instance and that tend to determine his tactical behavior in relation to his subordinates. In each case his behavior ideally will be that which makes possible the most effective attainment of his immediate goal within the limits facing him.

**p. 180**

*Conclusion*

[...] Thus, the successful manager of men can be primarily characterized neither as a strong leader nor as a permissive one. Rather, he is one who maintains a high batting average in accurately assessing the forces that determine what his most appropriate behavior at any given time should be and in actually being able to behave accordingly. Being both insightful and flexible, he is less likely to see the problems of leadership as a dilemma.

**Retrospective commentary**

**p. 166**

In recognizing that our article can be updated, we are acknowledging that organizations do not exist in a vacuum but are affected by changes that occur in society. Consider, for example, the implications for organizations of these recent social developments:

- The youth revolution that expresses distrust and even contempt toward organizations identified with the establishment.
- The civil rights movement that demands all minority groups be given a greater opportunity for participation and influence in the organizational processes.
- The ecology and consumer movements that challenge the right of managers to make decisions without considering the interest of people outside the organization.
- The increasing national concern with the quality of working life and its relationship to worker productivity, participation, and satisfaction.

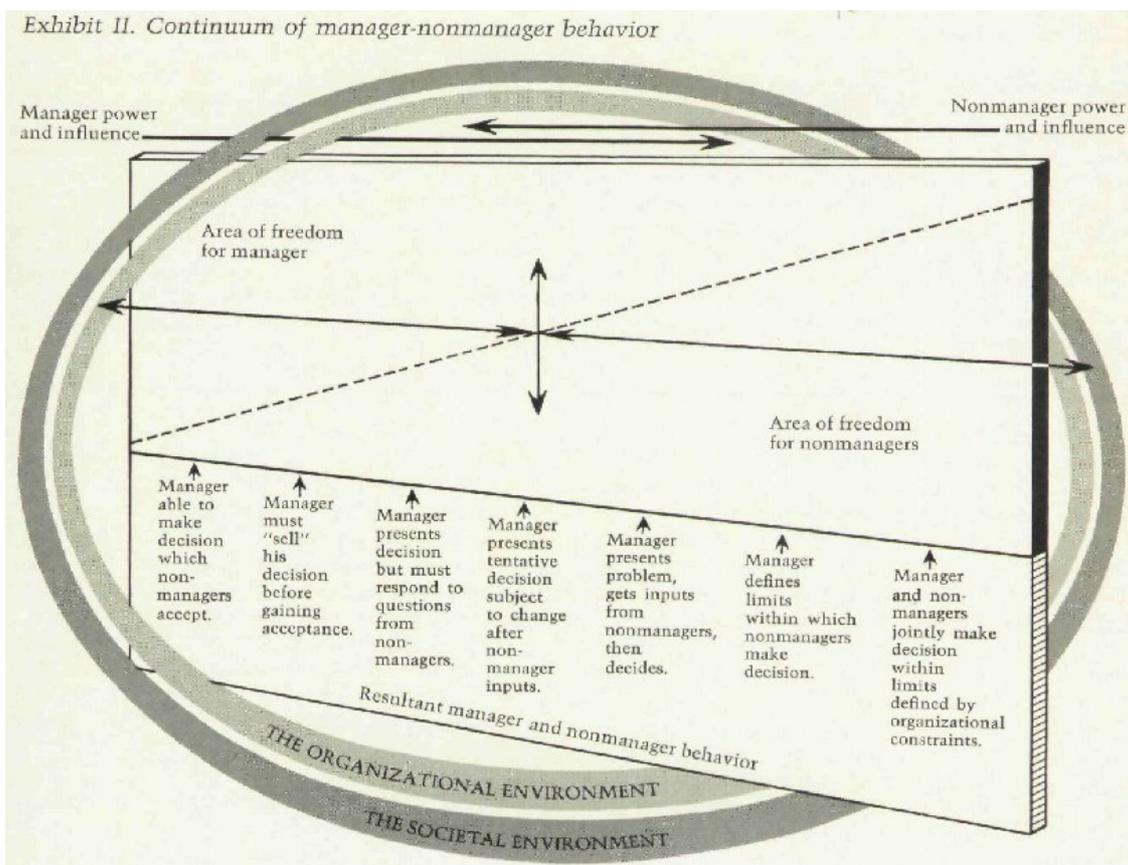
These and other societal changes make effective leadership in this decade a more challenging task, requiring even greater sensitivity and flexibility than was needed in the 1950's. Today's manager is more likely to deal with employees who resent being treated as subordinates, who may be highly critical of any organizational system, who expect to be consulted and to exert influence, and who often stand on the edge of alienation from the institution that needs their loyalty and commitment.

In addition, he is frequently confronted by a highly turbulent, unpredictable environment.

p. 167

The article portrayed the manager as the principal and almost unilateral actor. He initiated and determined group functions, assumed responsibility, and exercised control. Subordinates made inputs and assumed power only at the will of the manager. Although the manager might have taken into account forces outside himself, it was he who decided where to operate on the continuum—that is, whether to announce a decision instead of trying to sell his idea to his subordinates, whether to invite questions, to let subordinates decide an issue, and so on. While the manager has retained this clear prerogative in many organizations, it has been challenged in others. Even in situations where he has retained it, however, the balance in the relationship between manager and subordinates at any given time is arrived at by interaction—direct or indirect—between the two parties.

[...] Our thoughts on the question of leadership have prompted us to design a new behavior continuum (see Exhibit II) in which the total area of freedom shared by manager and nonmanagers is constantly redefined by interactions between them and the forces in the environment.



The arrows in the exhibit indicate the continual flow of interdependent influence among systems and people. The points on the continuum designate the types of

manager and nonmanager behavior that become possible with any given amount of freedom available to each. The new continuum is both more complex and more dynamic than the 1958 version, reflecting the organizational and societal realities of 1973.